

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Akihiro NITAYAMA et al.

Serial No: 09/660,390

Filed: September 12, 2000

For: SEMICONDUCTOR MEMORY DEVICE  
AND METHOD OF MANUFACTURING  
THE SAME

Group Art No. 2814

Examiner: TBA

Docket No. 000629.00002

#6/6r.  
12/6/01  
Surles  
TO 2200 MAIL ROOM

**RESPONSE TO RESTRICTION REQUIREMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Restriction Requirement discussed with the Examiner on November 7, 2001, in the above-identified patent application, applicants elect for prosecution at this time Group I, claims 1-12. Applicants reserve the right to file a divisional application directed to the subject matter of the non-elected claims.

No fee is believed to be required. Nonetheless, should the United States Patent and Trademark Office determine that a fee is required, authorization is given to charge our Deposit Account No. 19-0733.

Respectfully submitted,

*Joe M. Potenza* #42,402

For Joseph M. Potenza  
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Dated: November 27, 2001

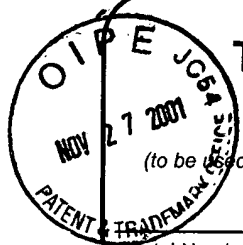
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number

09/660,390

Filing Date

September 12, 2000

First Named Inventor

Akihiro Nitayama

Group Art Unit

2814

Examiner Name

TBA

Attorney Docket Number

000629.00002

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

- **Response to Restriction Requirement**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Joseph M. Potenza, Registration No. 28,175

Signature

*Steve D. #42,402*

Date

November 27, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: \_\_\_\_\_

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Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.